



**K2 Australian Small Cap Fund (KSM)**

**ARSN 166 480 584**

All correspondence and enquiries to:



Boardroom Pty Limited  
 ABN 14 003 209 836  
 GPO Box 3993  
 Sydney NSW 2001  
 Tel: 1300 737 760 (within Australia)  
 Tel: +61 2 9290 9600 (outside Australia)  
 Fax: +61 2 9279 0664  
 www.boardroomlimited.com.au  
 enquiries@boardroomlimited.com.au

You are required to insert this number  
 Securityholder Reference Number (SRN),  
 Holder Identification Number (HIN) or  
 Unitholder No (U)

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**DISTRIBUTION REINVESTMENT PLAN – APPLICATION OR VARIATION FORM**

If you wish to participate, vary your participation or terminate your participation in the Distribution Reinvestment Plan (“DRP”), please complete and sign this form and return it to BoardRoom via post, fax or email.

DRP rules available on request or can be downloaded from K2 Asset Management Ltd at [www.k2am.com](http://www.k2am.com)

I/We wish to participate in the DRP as follows (please tick one box).

<p><b>Option 1</b></p> <input style="width: 40px; height: 30px;" type="checkbox"/>	<p><b>Full participation in the DRP</b></p> <p>Please tick this box if you wish to elect that all units registered in your name, including any additional units acquired from time to time, will participate in the DRP.</p>
<p><b>Option 2</b></p> <input style="width: 100px; height: 30px;" type="text"/>	<p><b>Partial participation in the DRP</b></p> <p>Please write in the box the number of units registered in your name you would like to participate in the DRP. The Distribution on the balance of your units not participating in the DRP will be issued in cash.</p>
<p><b>Option 3</b></p> <input style="width: 40px; height: 30px;" type="checkbox"/>	<p><b>Termination of participation in the DRP</b></p> <p>Please tick this box if you wish to terminate participation of your units in the DRP.</p>

**Sign Here – This section must be signed for your instructions to be executed**

I/we authorise the Board to apply my/our Distributions (to the extent specified above and subject to any Minimum Participating Holding or Maximum Participating Holding) in subscribing for units, or purchasing units, in accordance with the terms and conditions of the DRP and the Rules. I/We acknowledge that these instructions supersede any Direct Credit instructions relating to dividend/distribution payments to which I/we am/are entitled to be paid.

**Individual or Unitholder 1**

**Sole Director and  
Sole Company Secretary**

**Unitholder 2**

**Director**

**Unitholder 3**

**Director/Company Secretary**

Day	Month	Year
<input style="width: 30px; height: 20px;" type="text"/>	<input style="width: 30px; height: 20px;" type="text"/>	<input style="width: 30px; height: 20px;" type="text"/>

Please refer overleaf for instructions on completing this form

## Glossary of Terms

**BSB:** A 6 digit Bank State Branch code used in Australia (format is xxx-xxx).

## Signing Instructions

**Individual:** This form is to be signed by the Unitholder.  
**Joint Holding:** Where the holding is in more than one name, all the Unitholders must sign.  
**Power of Attorney:** To sign as Power of Attorney, you must have already lodged it with Boardroom Pty Limited. Alternatively, attach a certified photocopy of the Power of Attorney to this form.  
**Companies:** In accordance with the company's constitution and *Corporations Act 2001* (Cth) (or for New Zealand Companies, the *Companies Act 1993*).

**Please indicate the office held by signing in the appropriate space.**

## Privacy Statement:

The personal information provided in this form is collected by Boardroom Pty Limited, as registrar for the issuer of the securities you hold. Boardroom Pty Limited's privacy policy can be viewed on our website ([www.boardroomlimited.com.au](http://www.boardroomlimited.com.au)). Alternatively, you may contact BoardRoom on 1300 737 760 and request a copy be sent to you.

Your personal information is required for administration of the register of securityholdings. Should some or all of the requested information not be provided correct administration of your securityholding may not be possible. Your personal information may be disclosed to the issuer of the securities you hold, its or our related bodies corporate, external service companies such as print or mail service providers or otherwise as permitted by law. If, in accordance with the provisions of the Corporations Act the issuer of the securities you hold approves, you may be sent marketing material in addition to general corporate communications. You may elect not to receive marketing material by contacting Boardroom Pty Limited. You can obtain access to your personal information and (if required) advise of any incorrect, inaccurate or out of date data information held, by contacting Boardroom's Privacy Officer on 1300 737 760.

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## Please return the completed form by post, fax or email to:

**Post:** Boardroom Pty Limited  
GPO Box 3993, Sydney NSW 2001, Australia  
**Fax:** +61 2 9279 0664  
**Email:** [enquiries@boardroomlimited.com.au](mailto:enquiries@boardroomlimited.com.au)

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