



Client Services contact details

Phone
+61 3 9691 6191

Email
invest@k2am.com.au

Website
www.k2am.com.au

Transfer Form

K2 Global High Alpha Fund

Use this form if you are an existing investor and wish to transfer units to another investor.

Please complete all sections in block capitals using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

1. Instructions if you are transferring units to an existing investor.

If you are transferring units to an existing investor, the following needs to be completed:

- transferor needs to enter their account number and account name as it appears on the latest periodic or transaction statements
- transferee needs to enter their account number and account name in section 2 as it appears on the latest periodic statement or transaction statement
- both the transferor and transferee need to sign this form as per the 'Signing instructions' in section 5.

2. Instructions if you are transferring units to a new investor.

If you are transferring units to a new investor, the transferor needs to complete the following:

- enter their account number and account name as it appears on your latest periodic or transaction statements
- sign this form as per the 'Signing instructions' in section 5.

The transferee needs to:

- enter their name in section 4 of this form and then sign the form as per the 'Signing instructions' in section 5
- complete the relevant fund's application form
- complete the relevant identification document which can be downloaded from the product disclosure statement
- arrange for copies of their identification documents to be certified.

3. Send your documents to us.

Before you submit your transfer form, please check that:

- both the transferor and transferee have signed the transfer form
- the transfer form and application form (if applicable), along with relevant identification form and documents (if applicable) are included.

Please post your **signed** transfer form and application form (if applicable) and **certified copies** of the relevant identification documents (if applicable) to us.

Send by Post: K2 Asset Management
GPO Box 3993
Sydney NSW 2001
Australia

Fax forms to: +61 2 9252 1987

Scan and email to: k2am@boardroomlimited.com.au
Please include your account number in the subject line of your email

Legal notices

K2 Asset Management Ltd AFSL No. 244393 (**K2**) is the issuer of units in the K2 Global High Alpha Fund (the **Fund**).

K2 is committed to ensuring the confidentiality and security of your Personal Information. We handle your Personal Information in accordance with the Privacy Act 1988 and our Privacy Statement, which can be accessed on our website www.k2am.com.au

Standard Transfer Form

5. Signing instructions

By completing and signing this form, you:

- authorise us to act according with the instructions on this form
- acknowledge that the instructions on this form supersede, and have priority over, all previous instructions received by us, and
- agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form.

Who needs to sign this form

Individual — where the investment is in one name, the account holder must sign.

Joint Holding — where the investment is in more than one name, all of the account holders must sign.

Companies — where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust — the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney — if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Transferor/seller

Signature of investor 1, director or authorised signatory

Please print full name

Date

D	D	M	M	Y	Y	Y	Y
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Company officer (please indicate company capacity)

- Director
- Sole director and company secretary
- Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date

D	D	M	M	Y	Y	Y	Y
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Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory

Transferee/buyer

Signature of investor 1, director or authorised signatory

Please print full name

Date

D	D	M	M	Y	Y	Y	Y
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Company officer (please indicate company capacity)

- Director
- Sole director and company secretary
- Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory

